



**Carborundum Universal Limited**

**Regd. Off :** 'Parry House', 43, Moore Street,  
Chennai - 600 001. India.  
Tel. : +91-44-3000 6161 Fax : +91-44-3000 6149  
Email : cumigeneral@cumi.murugappa.com  
Website : www.cumi.murugappa.com  
CIN No. : L29224TN1954PLC000318.

13<sup>th</sup> January 2017

BSE Limited  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai 400 001

**Stock Code: 513375**

National Stock Exchange of India Ltd.  
Plot No. C/1, G Block  
Bandra - Kurla Complex, Bandra (E)  
Mumbai 400 051

**Stock Code: CARBORUNIV-EQ**

Dear Sir,

**Sub: Reconciliation of Share Capital Audit Report for the quarter ended 31<sup>st</sup> December 2016**

Please find enclosed the certificate dated 9<sup>th</sup> January 2017 issued by M/s. R Sridharan & Associates, Company Secretaries relating to Reconciliation of Share Capital Audit Report for the quarter ended 31<sup>st</sup> December 2016.

Kindly take the above on record and acknowledge receipt.

Thanking you,

Yours faithfully

**For Carborundum Universal Limited**

  
Rekha Surendhiran  
Company Secretary

Encl.: a/a

9<sup>th</sup> January, 2017

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**  
**FOR THE QUARTER ENDED 31<sup>ST</sup> DECEMBER, 2016**  
**ISIN: INE120A01034**

We have examined the Register of Members, beneficiary details furnished by the Depositories and other relevant books and records, correspondence, and information maintained by **Messrs. CARBORUNDUM UNIVERSAL LIMITED** and having its Registered Office at "Parry House" 43, Moore Street Chennai - 600 001 (herein after referred to as "The Company") and its Registrar and Share Transfer Agents, **Messrs. Karvy Computer Share Private Limited** for issuing this certificate, in accordance with Circular No.D&CC/FITTC/CIR-16/2002 dated December 31,2002 & CIR/MRD/DP/30/2010 dated September 06, 2010 issued by the Securities and Exchange Board of India.

In our opinion and to the best of our knowledge and based on such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purpose of our certification and based on such verification as considered necessary we hereby certify the report for the quarter ended **31<sup>st</sup> December, 2016** as detailed below: -

1.	For Quarter Ended	<b>31<sup>st</sup> December, 2016</b>	
2.	ISIN	INE120A01034	
3.	Face Value	Re.1/- per Equity Share	
4.	Name of the Company	Carborundum Universal Limited.	
5.	Registered Office Address	'Parry House' 43, Moore Street Chennai-600 001.	
6.	Correspondence Address	'Parry House' 43, Moore Street Chennai-600 001.	
7.	Telephone & Fax Nos.	Tel: 044-3000 6789. Fax: 044-3000 6149.	
8.	Email address	investorservices@cumi.murugappa.com	
9.	Names of the Stock Exchanges where the Company's Securities are listed	1. BSE Limited. 2. National Stock Exchange of India Limited.	
		No. of Shares	% of Total Issued Capital
10.	Issued Capital	<b>18,86,24,590</b>	<b>100</b>
11.	Listed Capital (Exchange-wise) (as per Company records)	<b>18,86,16,590</b>	<b>99.99</b>
12.	Held in dematerialised form in CDSL	90,12,892	4.78
13.	Held in dematerialised form in NSDL	17,61,62,133	93.39
14.	Physical	34,49,565	1.83
15.	Total No. of Shares (12+13+14)	<b>18,86,24,590</b>	<b>100.00</b>
16.	Reasons for difference between (10&11), (10&15) and (11&15)	The Company has allotted 8,000 equity shares of re.1/- each under ESOP Scheme, 2007 on 30 <sup>th</sup> December, 2016 and the company is in the process of making an application with Stock Exchanges for listing of the said shares.	

*Carborundum Universal Limited*



R. Sridharan & Associates  
Company Secretaries





17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars ***	No. of Shares	Applied/ Not applied for listing	Listed on Stock Exchanges (specify names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle Approval Pending from Stock Exchanges (specify names)
1. Allotment of 15,500 equity shares of Re.1/- each to the option grantees under ESOP Scheme 2007 through the resolution passed by circulation by the member of Stakeholders Relationship Committee on 20 <sup>th</sup> October, 2016.	15,500	Applied for listing	Obtained Final Listing and Trading Approval from:- <b>BSE Limited</b> Vide Notice No. 20161123-17 dated 23 <sup>rd</sup> November, 2016, 15500 shares are listed and admitted for trading with effect from 24 <sup>th</sup> November, 2016. <b>National Stock Exchange of India Limited</b> Vide Letter No. NSE/LIST/94727 dated 23 <sup>rd</sup> November, 2016 15500 shares are listed and admitted to dealings from 24 <sup>th</sup> November, 2016.	Yes	Yes	No
2. Allotment of 19,948 equity shares of Re.1/- each to the option grantees under ESOP Scheme 2007 through the resolution passed by circulation by the member of Stakeholders Relationship Committee on 14 <sup>th</sup> December, 2016.	19,948	Applied for listing	Obtained Final Listing and Trading Approval from:- <b>BSE Limited</b> Vide Notice No. 20161227-16 dated 27 <sup>th</sup> December, 2016, 19948 shares are listed and admitted for trading with effect from 28 <sup>th</sup> December, 2016. <b>National Stock Exchange of India Limited</b> Vide Letter No. NSE/LIST/10446 dated 28 <sup>th</sup> December, 2016 19948 shares are listed and admitted to dealings from 29 <sup>th</sup> December, 2016.	Yes	Yes	No
3. Allotment of 8000 equity shares of Re.1/- each to the option grantees under ESOP Scheme 2007 through the resolution passed by circulation by the member of Stakeholders Relationship Committee on 30 <sup>th</sup> December, 2016.	8,000	Not Applied	The Company is in the process of making an application with Stock Exchanges for listing.	Yes	Yes	No

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18.	Register of Members is updated (Yes/No).	YES
	If not, updated up to which date.	Not Applicable.



19.	Reference of previous quarter with regards to excess dematerialised shares, if any	Not Applicable		
20.	Has the Company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?	Not Applicable		
21.	Mention the total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of Demat Requests.	No. of Requests	No. of Shares	Reasons for delay
	Confirmed after 21 days.	NIL	NIL	NIL
	Pending for more than 21 days.	NIL	NIL	NIL
22.	Name, Telephone & Fax No. Of the Compliance Officer of the Company.	CS Rekha Surendhiran, Company Secretary. Tel: 044-3000 6134. Fax: 044-3000 6149.		
23.	Name, Address, Tel & Fax No., C.P. No. of the Auditor.	CS R. Sridharan, R Sridharan & Associates, Company Secretaries, New No.5, Old No.12, Sivasailam Street, T.Nagar, Chennai-600 017. Tel Nos: 4350 2997,42555587, Tele Fax: 4216 6988 Cell No.9841018446 Email Id:Sridharan.r@aryes.in <b>C.P.No.3239 , FCS No.4775</b>		
24.	Appointment of Common Agency for Share Registry Work.	YES M/s. Karvy Computershare Private Limited, (Unit: CARBORUNDUM UNIVERSAL LIMITED) Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad-500 032. Tel: 040-67162222 Fax: 040-23001153		
25.	Any other detail that the Auditor may like to provide (eg. BIFR Company, delisting from SE, Company changed its name, etc.)	NIL		

**FOR R.SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES**



**CS R.SRIDHARAN  
C.P No.3239  
FCS.No.4775  
UINS2003TN063400**

