

21st April, 2021

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT
FOR THE QUARTER ENDED 31st MARCH, 2021

ISIN: INE120A01034

We have examined the Register of Members, beneficiary details furnished by the Depositories and other relevant books and records, correspondence, and information maintained by **CARBORUNDUM UNIVERSAL LIMITED** and having its Registered Office at "Parry House" 43, Moore Street, Chennai – 600001 (herein after referred to as "The Company") and its Registrar and Share Transfer Agents, **KFIN TECHNOLOGIES PRIVATE LIMITED** (formerly known as **KarvyFintech Private Limited**) for issuing this certificate, in accordance with Notification No. SEBI/LADNRO/GN/2018/40 dated 3rd October, 2018 issued by the Securities and Exchange Board of India.

In our opinion and based on such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purpose of our certification and based on such verification as considered necessary we hereby certify the report for the quarter ended **31st March, 2021** as detailed below: -

1.	For Quarter Ended	31st March, 2021	
2.	ISIN	INE120A01034	
3.	Face Value	Re.1/- per Equity Share	
4.	Name of the Company	Carborundum Universal Limited.	
5.	Registered Office Address	"Parry House" 43, Moore Street, Chennai-600 001.	
6.	Correspondence Address	"Parry House" 43, Moore Street, Chennai-600 001.	
7.	Telephone & Fax Nos.	Tel: 044-3000 6161. Fax: 044-3000 6149.	
8.	Email address	investorservices@cumi.murugappa.com	
9.	Names of the Stock Exchanges where the Company's Securities are listed	1. BSE Limited. 2. National Stock Exchange of India Limited.	
		No. of Shares	% of Total Issued Capital
10.	Issued Capital	18,95,90,839	100.00
11.	Listed Capital (Exchange-wise) (as per Company records)	18,95,72,578	99.99
12.	Held in dematerialised form in CDSL	1,06,79,797	5.63
13.	Held in dematerialised form in NSDL	17,68,24,360	93.27
14.	Physical	20,86,682	1.10
15.	Total No. of Shares (12+13+14)	18,95,90,839	100.00
16.	Reasons for difference, between (11&15) & (10&11)	<i>The Company has on 31st March 2021 allotted 18,261 shares under ESOP Plan 2016 for which corporate action approval (for credit shares to the respective demat account of the allottee) from the depository is pending, post which the Company shall make listing application to the stock exchanges.</i>	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars ***	No. of Shares	Applied/ Not applied for listing	Listed on Stock Exchanges (specify names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle Approval Pending from Stock Exchanges (Yes / No)
1.Allotment of 49,808 equity shares of Re.1/- each to the option grantees under Employee Stock Option Scheme 2007 through resolution passed by the members of Stakeholders Relationship Committee on 2 nd February, 2021.	49,808	Applied	Obtained Final Listing and Trading Approval from:- <u>BSE Limited</u> Vide letter bearing reference DCS/ESOP/TP/JR/11727/2020-21 dated February 23, 2021, 49,808 equity shares were listed and admitted for trading with effect from February 24, 2021. <u>National Stock Exchange of India Limited</u> Vide letter bearing reference NSE/LIST/26168 dated February 23, 2021, 49,808 equity shares were listed and admitted for trading with effect from February 24, 2021.	Yes	Yes	No
2.Allotment of 57,444 equity shares of Re.1/- each to the option grantees under Employee Stock Option Scheme 2007 and ESOP Plan 2016 respectively through resolution passed by the members of Stakeholders Relationship Committee on 10 th March, 2021.	57,444	Applied	Obtained Final Listing and Trading Approval from:- <u>BSE Limited</u> Vide notice bearing reference DCS/ESOP/TP/PB/11958/2020-21 dated March 26, 2021,57,444 equity shares were listed and admitted for trading with effect from March 30, 2021. <u>National Stock Exchange of India Limited</u> Vide letter no NSE/LIST/26557 dated March 26, 2021,57,444equity shares were listed and admitted for trading with effect from March 30, 2021	Yes	Yes	No
3. Allotment of 18,261 equity shares of Re.1/- each to the option grantees under ESOP Plan 2016through resolution passed by the members of Stakeholders Relationship Committee on 31 st March, 2021.	18,261	*Corporate action filed	<i>The Company is yet to apply to the stock exchanges for listing of shares allotted under ESOP Plan 2016.</i>	Yes	Yes	*Yes

**The Company has on 31st March 2021 allotted 18,261 shares under ESOP Plan 2016 for which corporate action approval (for credit shares to the respective demat account of the allottee) from the depository is pending, post which the Company shall make listing application to the stock exchanges.*

******* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18.	Register of Members is updated (Yes/No).	YES		
	If not, updated up to which date.	Not Applicable.		
19.	Reference of previous quarter with regards to excess dematerialised shares, if any	Not Applicable		
20.	Has the Company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?	Not Applicable		
21.	Mention the total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of Demat Requests.	No. of Requests	No. of Shares	Reasons for delay
	Confirmed after 21 days.	NIL	NIL	NIL
	Pending for more than 21 days.	NIL	NIL	NIL
22.	Name, Telephone & Fax No. Of the Compliance Officer of the Company.	CS Rekha Surendhiran, Company Secretary. Tel: 044-3000 6134. Fax: 044-3000 6149.		
23.	Name, Address, Tel & Fax No., C.P. No. of the Auditor.	CS R.Sridharan, R Sridharan & Associates, Company Secretaries, Thiruvarangam Apartments, Flat No.3, First Floor, New No.44, Old No.25, Unnamalai Ammal Street, T.Nagar, Chennai – 600 017.Tel: 044 4216 6988; Email id : Sridharan.r@aryes.in , C.P. No. 3239, FCS No.4775		
24.	Appointment of Common Agency for Share Registry Work.	YES, KFIN TECHNOLOGIES PRIVATE LIMITED (formerly known as KarvyFintech Private Limited) Selenium Building, Tower B, Plot 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana– 500 032. Tel: 040-67162222 Fax: 040-23420814		
25.	Any other detail that the Auditor may like to provide (eg. BIFR Company, delisting from SE, Company changed its name, etc.)	*18,261 shares allotted on 31st March, 2021 is yet to get approval from NSE and BSE.		

**FOR R.SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**

**CS R.SRIDHARAN
C.P No.3239
FCS.No.4775
UIN: S2003TN063400
UDIN: F004775C000146202
(This certificate is digitally signed)**