



Carborundum Universal Limited

Regd.off : 'Parry House', 43, Moore Street,
Chennai - 600 001, India.

Tel.: +91-44-3000 6161 Fax : +91-44-3000 6149

Email : cumigeneral@cumi.murugappa.com

Website : www.cumi.murugappa.com

CIN No. : L29224TN1954PLC000318.

23rd October 2019

To

BSE Limited,
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort
Mumbai 400 001

Stock Code: 513375

National Stock Exchange of India Ltd.
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai 400 051

Stock Code: CARBORUNIV-EQ

Dear Sir,


Sub: Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed the Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1st April 2019 to 30th September 2019 issued by M/s. R Sridharan & Associates, Company Secretaries.

Thanking you,

Yours faithfully
For Carborundum Universal Limited

Rekha Surendhiran
Company Secretary


Encl.: a/a

23rd October, 2019

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01st April, 2019 to 30th September, 2019

ISIN: INE120A01034

SYMBOL: CARBORUNIV

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **CARBORUNDUM UNIVERSAL LIMITED**, having its Registered Office at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Karvy Fintech Private Limited** having its office at Karvy Selenium, Tower B, Plot 31-32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad – 500 032 pertaining to transfer of equity shares of the company for the period from **01st April, 2019 to 30th September, 2019** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR) and Uniform Listing Agreement entered into by, **Carborundum Universal Limited** with **National Stock Exchange of India Limited** and Press release No. 49/ 2018 dated 03rd December, 2018 and Press release No. 12/2019 dated 27th March, 2019 respectively issued by the Securities and Exchange Board and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended **30th September, 2019** delivered all the share certificates relating to the share transfer deeds received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers duly endorsed and issued within Thirty days from the date **1st April, 2019 to 30th September, 2019** of lodgment for transfer as stipulated under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We further certify that based on the verification of records produced before us and information and the certificates produced by the Company/ RTA that the transfer forms lodged with the RTA during the above said period were relating to deficiency in the documents to the ones lodged prior to the deadline i.e 01st April 2019 vide press release No. 12/2019 dated 27/03/2019 issued by Securities and Exchange Board of India.

During the aforementioned period, **Carborundum Universal Limited** has received requests for issue of duplicate share certificates, Sub- division of share and the same has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

During the aforementioned period **Carborundum Universal Limited** has not received any request for Consolidation of share certificate.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R.SRIDHARAN
C.P.No.3239
FCS No.4775
UIN: S2003TN063400
UDIN: F004775A000147863

CARBORUNDUM UNIVERSAL LIMITED