

FORM – APPLICATION FOR PRE-CLEARANCE OF TRADES

(Pursuant to clause 7.2 of CUMI's Code of Conduct to regulate, monitor and report trading by Designated Person in Securities)

(To be submitted for approval before dealing in any securities of the Company)


1.	Name of designated person	Mr. M M Murugappan	
2.	Purpose for which preclearance is sought - purchase or sale of CUMI's securities In case of any other dealing in securities, please specify the nature of the dealing. (eg., pledge/revoking of pledge etc.)	Transfer of 5,000 shares by way of gift to Master Krishna Murugappan Muthiah	
3.	If the trade is proposed to be effected in the name of immediate relative*, (please mention their name).	-	
4.	No. of securities proposed to be acquired / sold/ traded otherwise.	5,000	
5.	No. of securities held as on date		
	— In physical form	Folio No.	No. of securities
	- In dematerialised form	DP Id Client ID	No. of securities
		IN300572 10001904	3,44,140
		IN300572 10002034	1,000
6.	Total number of securities held after the proposed trade.	340,140	
7.	Is the trade proposed to be done through the stock market or through an off-market trade	Off-market	
8.	Is the trade proposed to be done in physical form or in demat form	Demat	
9.	If the trade is proposed to be done in demat form, details of DP ID and client ID	IN300572 10001904	

* Immediate relative means applicant's spouse and includes his/her/ spouse's parent, sibling or child who is either dependent financially on the applicant or consults the applicant in taking trading decisions.

I hereby confirm and declare that:

- I do not have any access or have not received up to the time of signing the undertaking any "Price Sensitive Information" which has remained unpublished and not in the public domain.
- In case I get access to or receive unpublished price sensitive information after the signing of the undertaking but before the execution of the transaction, I shall inform the Compliance officer of the change in position and I would completely refrain from dealing in the securities of the Company till the time such information becomes generally available.
- I have made a full and true disclosure while applying for clearance to trade
- I undertake to execute the trade within 7 days from the date of approval failing which I agree to obtain fresh approval.
- I have not done an opposite trade within 6 months prior to the date of this application. Further I undertake not to do an opposite trade within 6 months after this transaction.
- I do not have/shall not take any position in the Derivatives
- I have not contravened this Code.

Date: 9th June 2021


M M Murugappan
Signature of designated person

For use of Compliance Officer:

Application recd. date	Approval Date	Approval No.	Compliance Officer's signature

FORM C

SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

Name of the company: **Carborundum Universal Limited**
ISIN of the company: **INE120A01034**

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/ Member of the Promoter Group/ Designated Person/ Directors/immediate relatives/others etc.)	Securities held prior to acquisition / disposal		Securities - acquired / Disposed				Securities held post acquisition / disposal		Date of allotment advice/ acquisition of shares/sale of shares, specify		Date of intimation to Company	Mode of acquisition /disposal (on market/public/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (For eg.- Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of shareholding	Type of securities (For eg.- Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No.	Value	Transaction Type (Purchase/ Sale/ Pledge/ Revocation/ Invocation/ Others-please specify)	Type of securities (For eg.- Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of shareholding	From	to			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
M M Murugappan - AAGPM1775L Coromandal House 12/14 Boat Club Road A Puram Chennai -600028 Contact No.: 044 -24340213	PAN R Promoter	Equity shares	345,140 0.18%	Equity shares	5,000	29,43,750 (at closing value of NSE as on 10.06.2021)	Transfer by way of gift to Master Krishna Murugappan Muthiah	Equity shares	340,140 0.18%	10-Jun-21	10-Jun-21	14-Jun-21	Off Market	N.A.

Note: (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.
(ii) Value of transaction excludes taxes/ brokerage/ any other charges

Details of trading in derivatives of the Company by Promoter, Member of the Promoter Group, Designated Person or Director of a listed company, immediate relatives of such persons and other such persons as mentioned in Regulation 6(2) – NOT APPLICABLE

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units	Notional Value	Number of units	
16	17	18	19	20	21	22
Not Applicable						

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name **M M Murugappan**

Signature : 
Date : 14.06.2021
Place : Chennai

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Date : 14.06.2021
Place : Chennai